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IPW

PATENT
ATTORNEY DOCKET NO. 04233883

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Mukunya, et al.)
Serial No.: 10/763,550)
Filing Date: January 23, 2004)

Group Art Unit:
3625
Examiner:
Unknown

For: **SYSTEM FOR TRADING AND
HEDGING PRODUCT AND
BRAND SALES**

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Timothy Hubalik
(typed or printed name of person mailing paper or fee)


(signature of person mailing paper or fee)

TRANSMITTAL LETTER

Dear Sir:

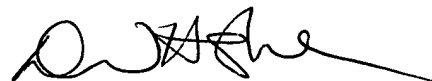
Enclosed for filing please find:

1. Information Disclosure Statement;
2. Form PTO/SB/08A;
3. International Search Report and references; and
4. Return postcard.

If there is any fee due in connection with the filing of this statement, please charge the fee to our Deposit Account No. 13-0019.

MAYER, BROWN, ROWE & MAW LLP
P.O. Box 2828
Chicago, Illinois 60690-2828
312-701-8773

Respectfully submitted,



Daniel H. Shulman
Attorney for Applicant
Reg. No. 45,106

Dated: October 14, 2004



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Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO/SB/08A. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Also enclosed is a copy of an International Search Report issued by the Office for a corresponding application.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or

constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this statement, please charge the fee to our Deposit Account No. 13-0019.

MAYER, BROWN, ROWE & MAW LLP
P.O. Box 2828
Chicago, Illinois 60690-2828
312-701-8773

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'D. H. Shulman', written over a horizontal line.

Daniel H. Shulman
Attorney for Applicant
Reg. No. 45,106

Dated: October 14, 2004



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/763,550
Filing Date	January 23, 2004
First Named Inventor	Mukunya, et al.
Art Unit	3625
Examiner Name	Unknown
Attorney Docket Number	04233883

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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